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PATENTS
5401/CMP/RKK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : John M. White, Ying Yu and Michael Sugarman
Serial No. : 09/838,959
Filed : April 19, 2001
For : WAFER SCRUBBING DEVICE HAVING BRUSH ASSEMBLY
AND MOUNTING ASSEMBLY FORMING SPHERICAL JOINT
Examiner : Theresa T. Snider
Group Art Unit : 1744

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT

Sir:

In response to the Office Action mailed October 8, 2003
please amend the above-identified application as follows:

Amendments to the Specification begin on page 2 of this paper.
Amendments to the Claims begin on page 3 of this paper.
Remarks/Arguments begin on page 9 of this paper.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))
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☒ transmitted by facsimile to the U.S. Patent and Trademark Office.


Signature

January 8, 2004
Date

Brian M. Dugan
(name of person certifying)

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FACSIMILE COVER SHEET

January 8, 2004

PLEASE DELIVER THE ATTACHED MESSAGE TO:

Examiner: Theresa T. Snider

Phone No.: (703) 305-0554

Fax No.: (703) 872-9306

From: Brian M. Dugan

Our File No.: 5401/CMP/RKK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : John M. White, Ying Yu and Michael
Sugarman

Serial No. : 09/838,959

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ASSEMBLY AND MOUNTING ASSEMBLY FORMING
SPHERICAL JOINT

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TOTAL NUMBER OF PAGES INCLUDING THIS PAGE:

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Docket No. 5401/CMP/RKK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Inventors: John M. White, Ying Yu and Michael Sugarman
Title: WAFER SCRUBBING DEVICE HAVING BRUSH ASSEMBLY AND MOUNTING ASSEMBLY
FORMING SPHERICAL JOINT
Serial No.: 09/838,959
Filed: April 19, 2001
Examiner: Theresa T. Snider
Group Art Unit: 1744

Transmitted herewith is:

☒ Amendment

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$80.00	\$0.00
Basic Filing Fee				\$710.00	\$0.00
TOTAL FEES					\$0.00

☒ The Commissioner is hereby authorized to charge \$86.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:
**PATENT COUNSEL
APPLIED MATERIALS, INC.
Legal Affairs Department
P.O. BOX 450A
Santa Clara, CA. 95052**

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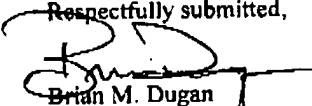
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January 8, 2004
Date

Brian M. Dugan
(name of person certifying)

Respectfully submitted,


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